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Shenzhen

Corporate / Merger & Acquisition | Capital Market | Private Equity & Investment Funds | Outbound Investment | Dispute Resolution

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Practice Areas

Guanting Lu specializes in mergers and acquisitions, equity investment and financing, overseas listings (Hong Kong, US), A-share listings, commercial transactions (brokering and negotiation), transfer of control rights of listed companies, corporate governance and routine compliance, refinancing of listed companies, as well as commercial litigation and arbitration.

Guanting Lu has served multiple enterprises throughout their entire process from start-up to listing, advised on numerous IPO and listed company M&A projects, and handled various complex commercial litigation cases. He is skilled at applying litigation thinking to resolve non-litigation issues.

In the field of domestic and overseas IPOs, Guanting Lu has handled the following projects: IPO of Baoming Technology (002992.SZ) on the Main Board; IPO of Trina Solar (688599.SH) on the STAR Market; IPO of Nanling Technology (300921.SZ) on the ChiNext Board; IPO of Kingsoft Technology (688676.SH) on the STAR Market; IPO of Derui Lithium Battery (920532.BJ) on the Beijing Stock Exchange; IPO of Pagoda (02411.HK) on the Main Board of HKEX; IPO of Halo Microelectronics (688173.SH) on the STAR Market; IPO of Yilian Technology (301631.SZ) on the ChiNext Board; IPO of Minbao Photoelectric (301362.SZ) on the ChiNext Board; IPO of Juncheng Herui Environmental (passed the listing review) on the ChiNext Board; H-share IPO of Shum Yip Property on the Main Board of HKEX; H-share IPO of Zhida Technology (02650.HK); H-share IPO of a new energy industry company; and a red-chip listing project of a Hubei-based enterprise on the Main Board of HKEX.

In the field of investment and financing (VC/PE), Guanting Lu has handled: representing Shenzhen Relief Fund to invest in Sunlord (002138.SZ), Hybio Pharmaceutical (300199.SZ), and DAS Intellitech (002421.SZ); representing the Service Trade Fund of the Ministry of Commerce of China to invest in Shenzhen Qian'an Technology, Xiamen Qingbo Venture Capital Fund, and Hainan Little Tiger Whale Private Equity Fund; Xiuqiang Glass (300160.SZ) invested in Greenlink Intelligence (835421); PRE-IPO equity financing for Apeman Innovation; Ningbo Tianyi Tianshi Fund invested in Beijing JD Finance Technology; Yunfeng RMB Fund invested in Shenzhen Xunce Technology; Series B financing of Shenzhen Dynamic Balance Health Technology; and Series A and Series B financing of Quzhou Gongyuan Sanqian Technology.

In the field of domestic and cross-border acquisitions and major asset restructurings, Guanting Lu has handled: Shenzhen Capital Holdings acquired control of MTC (002429.SZ) and CIMC (000039.SZ, 02039.HK); cross-border tender offer and privatization of Yuefeng Environmental (01381.HK) by Harbour Environment (600323.SH); Zhuhai Port Holding Group acquired control of Tongyu Heavy Industry (300185.SZ), Titan Heavy Energy (300569.SZ), and Xiuqiang Glass (300160.SZ); Shenzhen SEZ Construction & Development Group acquired Hailanxin (300065.SZ); Shenzhen Investment Holdings acquired shares of Topscomm (000829.SZ); Shenzhen International (00152.HK) acquired assets from China South City (01168.HK); Shenzhen International (00152.HK) acquired Suning (002024.SZ); major asset restructuring (asset swap) of Zhujiang Enterprises (600684.SH); major asset restructuring of Gree Real Estate (600185.SH); Jianhua Group acquired control of Longquan Shares (002671.SZ); and Shenzhen Chitone (002243.SZ) issued shares to acquire Leaguer Technology Group.

In the field of cross-border transactions and cross-border asset acquisitions, Guanting Lu has handled: Hengmei Optoelectronics and Nuoyan Capital acquired the polarizer assets of Samsung SDI (South Korea); MTC (002429.SZ) participated in the acquisition of LG’s 8.5-generation line in Guangzhou factory (with a transaction amount exceeding RMB 10 billion); cross-border equity and asset acquisition for a company in the e-cigarette industry; special legal consulting services for Xiuqiang Glass (300160.SZ) regarding its investment and factory construction in Thailand; special legal consulting for the acquisition transaction of a Cayman Islands subsidiary of Harbour Environment (600323.SH); representing financial institutions such as ICBC and China Construction Bank in cross-border debt collection; and designing European investment plans for a Jiangsu-based SOE (including ODI, overseas blind pool funds, and foreign investment analysis in

Germany and France).

In the field of routine legal services, Guanting Lu has provided routine legal counsel to multiple listed and non-listed companies, including Baoming Technology (002992.SZ), Trina Solar (688599.SH), Nanling Technology (300921.SZ), Longquan Shares (002671.SZ), Tongyu Heavy Industry (300185.SZ), MTC (002429.SZ), Yilian Technology (301631.SZ), Minbao Photoelectric (301362.SZ), and others.

In the field of specialized legal services, Guanting Lu has handled: renewal of the Sino-foreign joint venture for Zhuhai Coca-Cola (a subsidiary of Zhuhai Port 000507.SZ); Hong Kong syndicated loan financing for Zhuhai Port's overseas subsidiary; reduction of shareholding in an A-share listed company by Zhuhai Port (state-owned asset transfer); design and implementation of the employee co-investment scheme for China Merchants Leasing; transfer of Longquan Shares' stock to Jianhua Group (by agreement); transfer of Sunlord's (002138.SZ) shares to Shenzhen Investment Holdings Win-win Fund (by block trade); and transfer of Xiuqiang Glass's (300160.SZ) shares to Zhuhai Port (by agreement).

In the field of refinancing of listed companies, Guanting Lu has handled: small-scale and rapid additional share issuance of Zhuhai Port (000507.SZ); issuance of convertible corporate bonds to unspecified parties by Trina Solar (twice); share issuance to unspecified parties by Trina Solar; non-public offering of shares by Longquan Shares (002671.SZ); non-public offering of shares by CSG Group (000012.SZ); issuance of shares to specific targets by Tongyu Heavy Industry (300185.SZ); and issuance of shares to specific targets by Le Xin Medical (300562.SZ).

In the field of dispute resolution and litigation, Guanting Lu has represented numerous domestic and overseas financial institutions in debt collection and non-performing asset disposal, including China Development Bank Hong Kong Branch, DBS Bank (Hong Kong), Huaqiao Yongheng Bank (China), China Construction Bank, Hang Seng Bank (China), the asset protection department of China Merchants Bank, Industrial and Commercial Bank of China (Asia), Hongkong and Shanghai Banking Corporation, Nanyang Commercial Bank, and others. He has also achieved favorable outcomes in multiple complex commercial litigations, involving disputes over control of joint ventures, confirmation of feasibility of listed company acquisitions, and recovery of accounts receivable, with aggregate amounts in dispute exceeding tens of millions of RMB.

Work Experience

King & Wood
Commerce & Finance Law Offices

Admission

Admitted in the PRC

Education

East China University of Political Science and Law, Bachelor, 2014
Master, National University of Singapore, 2016

Languages

Chinese，English