

## Li, Alan (Xiang) Shanghai

Corporate / Merger & Acquisition

Compliance & Risk Control

Digital Economy and Data Security

Life Science & Healthcare

Environment, Social & Governance (ESG)



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### Practice Areas

Alan Li is a partner at Global Law Office, based in Shanghai. Alan Li and his team specialize in corporate compliance management, compliance investigations and regulatory compliance, corporate governance, and commercial dispute resolution. Adhering to the principle of “legal compliance serves the business development”, Alan Li has successfully assisted clients in completing multiple complicated projects through innovative solutions. Alan Li has provided high-quality legal services in corporate compliance management and long-term legal counsel to many well-known state-owned enterprises, multinational companies, domestic private enterprises, etc.

#### Recent Representative Cases:

- Providing ESG-related legal services for clients (Management System Construction, Information Disclosure, Performance Improvement, ESG Training, etc.);
- Formulating compliance management policies of supply chain for enterprises (Code of Conduct for Business Partners, Human Rights Protection, Anti-modern Slavery, Third-party Due Diligence System, etc.).
- Providing enterprise clients with supply chain due diligence services;
- Providing legal consulting services for the improvement of “Social” and “Governance” performance in ESG for a data platform company;
- Providing EUDR compliance services for a large-scale domestic rubber products exporting company;
- Constructing compliance management systems for several central enterprises, state-owned enterprises and their key subsidiaries, and assisting with ISO standard certification;
- Handling daily compliance management matters (Compliance Policy, Compliance Training, Compliance Consultation, etc.) for enterprises;
- Providing enterprises with business compliance services (Regional Market Access, Trade Compliance, etc.);
- Providing corporate governance legal services to clients (Corporate Governance, Shareholding Structure, Shareholder Risk Control, Compliance of Directors and Senior Executives, ESOP, etc.);
- Providing internal compliance investigations and handling services (ABAC, T&E, TPDD, etc.) for clients and assist them in dealing with external supervisions;
- Providing enterprise clients with legal services related to Data Compliance, Personal Information Protection, and Third-party Compliance Management;

- Providing full legal services for enterprise clients' mergers and acquisitions, asset management and fund clients' equity investment projects;
- Providing legal services for clients' various financing projects (Venture Capital, Funds, Convertible Bonds, etc.);
- Providing dispute resolution services to clients;
- Serving as a long-term legal advisor for several companies, providing legal services for their daily compliance operations.

## Work Experience

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Alan Li was a partner at Zhong Lun Law Firm (Shanghai) and Grandall Law Firm (Shanghai).

## Admission

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Admitted in the PRC

## Education

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MBA, Fudan University  
LL.M., Durham University  
LL.B., East China University of Political Science and Law

## Associations and Memberships

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- Member of the Corporate Compliance Committee of the Shanghai Bar Association.
- Examiner of the Internship Assessment Interview for Applicants to Practice Law of the Shanghai Bar Association.
- Executive Director of the Youth Committee of Xuhui District Federation of Overseas Chinese.
- Deputy Director of Xuhui District New Social Stratum Association Modern Service Professional Committee

## Publication

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- Interpretation of Key Articles in the Administrative Measures for Generative Artificial Intelligence Services (Draft for Comment)
- ESG Performance Improvement - Business Ethics
- Practical Interpretation of ESG Report Disclosure Guidelines for A-Shares Listed Companies
- How Can Limited Company Shareholders "Safely" Deregister the Company?
- Analysis of Joint Liability Risks of General Partners in Employee Shareholding Platforms and Equity Structure Design
- Compliance Application and Extension of the Company's Capital Reduction to Make Up for Losses System
- Compliance Application of Non-monetary Property Contributions
- A Dawn for Creditors? - Discussion on Creditors' Use of the Accelerated Maturity System Under the New Company Law
- Legal Compliance Review and Risk Management of Chinese Labels on Imported Pre-packaged Food (Part 1&Part 2)
- Seize the Core and Handle It with Ease - Interpretation of the Practice Guide to Cybersecurity Standards - Identifying Sensitive Personal Information
- YICAI 2024 Digital China Annual Report - Data Section (Chapter on Compliance of Data Assets on the Balance Sheet)

## Lectures

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- ESG and Compliance Governance; Lawyers Handling ESG Business: Corporate Governance - Lecture at East China University of Political Science and Law Continuing Education Institute
- The Board of Directors of A-Shares Listed Companies and the Improvement of ESG Performance, Stakeholders and Corporate Value - Online Course for Shenzhen Stock Exchange Listed Companies

- Interpreting Company's MSCI ESG Rating from the legal perspective - Shanghai Jiao Tong University Antai ESG and Sustainable Development Salon
- Exploring the ESG Landscape - 2023 ASEAN-PLUS Group Conference
- Improving MSCI Rating Performance: Business Ethics Management - Changzhou New Energy Industry Investment, Compliance and Legal Risk Control Forum
- ESG and Corporate International Development - 2023 Impact Climate Innovation Conference
- Compliance Obligations of Foreign Enterprises' Directors, Supervisors, and Senior Management under the New Company Law - 2024 Grandall New Company Law Forum Series of Events
- New Opportunities and Challenges in ESG Development for Enterprises in China - New Zealand Chamber of Commerce
- ESG Information Disclosure and Ratings - 2024 SIE Global Supply Chain Forum
- Management of Material ESG Issues in the Tourism Industry - 2024 ITB CHINA
- Interview Techniques in Fraud Investigations - Lawyer Practice Course of the Shanghai Bar Association
- Fraud Investigations in the Era of Instant Messaging - WELEGAL Corporate Compliance and Anti-Fraud in the New Environment

## Languages

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Chinese , English